

IN THE UNITED STATES PATENT AND TRADE OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)****BOX PCT****COMPLETION
For PCT Cases Only**In re **PATENT APPLICATION** ofInventor(s): **FRENKEN ET AL**Appln. No.: **09** | **626,242**
Series Code ↑ Serial No. ↑Atty. Dkt. PM **271592** | **T.3073**
M# Client Ref

National Phase Field

Based on **PCT** | **EP99** | **00481**
↑ Country Code & YearTitle: **METHOD FOR PRODUCING ANTIBODY FRAGMENTS**

Date: September 27, 2000

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASEAsst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) copy attached not yet received
2. **Signed Declaration** Original Facsimile/Copy with spec/claims attached
3. **Translation** of the International Application into English including:
 - a. Request;
 - b. Abstract
 - c. pgs. Spec. and Claims;
 - d. Translation verification formal of size A4 11"
4. Copy of **International Search Report** (ISR) attached (____ page(s))
 - a. plus Annex of family members (____ page(s))
5. **Information Disclosure Statement** including
 - a. From PTO-1449 listing documents
 - b. Copies of document(s) listed on Form PTO-1449
 - c. A concise explanation of ISR references is given in the ISR
6. **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. Copy of Power to international application agent.
8. ____ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. Formal Drawings: ____ sheet(s) informal; formal of size: A4 11"
10. Please immediately start national examination procedures (35 USC 371(f))
09/29/2000 ERIHANNO 0000021 09626242

01 FC:988	260.00 OP
02 FC:154	130.00 OP
03 FC:115	110.00 OP



5071 PCT/PCT 27 SEP 2000

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 2023100162449
PATENT & TRADEMARK OFFICE
APPLICATION NO.

FRENKEN

FIRST NAMED APPLICANT

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INTERNATIONAL APPLICATION NO 001451

SEP 22 2000

I.A. FILING DATE 01/25/99 PRIORITY DATE 01/26/98

DATE MAILED: 08/22/00

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
 a Designated Office (37 CFR 1.494),
 an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee.
 Copy of the international application in:
 a non-English language.
 English.
 Translation of the international application into English.
 Oath or Declaration of inventors(s) for DO/EO/US.
 Copy of Article 19 amendments.
 Translation of Article 19 amendments into English.
 The International Preliminary Examination Report in English and its Annexes, if any.
 Translation of Annexes to the International Preliminary Examination Report into English.
 Preliminary amendment(s) filed _____ and _____
 Information Disclosure Statement(s) filed 26 JUL 2000 and _____
 Assignment document.
 Power of Attorney and/or Change of Address.
 Substitute specification filed _____
 Verified Statement Claiming Small Entity Status.
 Priority Document.
 Copy of the International Search Report and copies of the references cited therein.
 Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

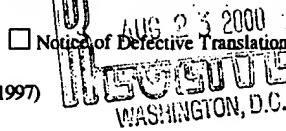
Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

- PCT/DO/EO/917
 PTO-875

FORM PCT/DO/EO/905 (December 1997)



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